

General information about company	
Scrip code	532737
NSE Symbol	EMKAY
MSEI Symbol	NOTLISTED
ISIN	INE296H01011
Name of the company	EMKAY GLOBAL FINANCIAL SERVICES LTD.
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-09-2023
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)
Whether the listed entity is Public Sector Undertaking (PSU)?	No

Declaration					
Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter- Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	Yes	Yes		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	Yes			

Table VI - Statement showing foreign ownership limits

Particular	Approved limits (%)	Limits utilized (%)
As on shareholding date	0	0
As on the end of previous 1st quarter	0	0
As on the end of previous 2nd quarter	0	0
As on the end of previous 3rd quarter	0	0
As on the end of previous 4th quarter	0	0

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg: y	Total	
(A)	Promoter & Promoter Group	7	18353984			18353984	74.49	18353984		18353984	74.49
(B)	Public	13586	6286246			6286246	25.51	6286246		6286246	25.51
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	13593	24640230			24640230	100	24640230		24640230	100

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	Sub-categorization of shares		
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		Sub-category (i)	Sub-category (ii)	Sub-category (iii)
(A)	Promoter & Promoter Group				74.49			6701484	36.51	18353984			
(B)	Public				25.51					6282239	0	0	0
(C)	Non Promoter-Non Public												
(C1)	Shares underlying DRs												
(C2)	Shares held by Employee Trusts												
	Total				100			6701484	27.2	24636223	0	0	0

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

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Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	6	13502500			13502500	54.8	13502500		13502500	54.8
(d)	Any Other (specify)	1	4851484			4851484	19.69	4851484		4851484	19.69
Sub-Total (A)(1)		7	18353984			18353984	74.49	18353984		18353984	74.49
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		7	18353984			18353984	74.49	18353984		18353984	74.49
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions (Domestic)										
(i)	NBFCs registered with RBI	1	2700			2700	0.01	2700		2700	0.01
Sub-Total (B)(1)		1	2700			2700	0.01	2700		2700	0.01
(2)	Institutions (Foreign)										
(d)	Foreign Portfolio Investors Category I	2	190392			190392	0.77	190392		190392	0.77
Sub-Total (B)(2)		2	190392			190392	0.77	190392		190392	0.77
(3)	Central Government / State Government(s)										
(4)	Non-institutions										
(c)	Key Managerial Personnel	2	5576			5576	0.02	5576		5576	0.02
(f)	Investor Education and Protection Fund (IEPF)	1	13194			13194	0.05	13194		13194	0.05
(g)	Resident Individuals holding nominal share capital up to Rs. 2 lakhs	13033	3377119			3377119	13.71	3377119		3377119	13.71
(h)	Resident Individuals holding nominal share capital in excess of Rs. 2 lakhs	23	1567318			1567318	6.36	1567318		1567318	6.36
(i)	Non Resident Indians (NRIs)	197	184993			184993	0.75	184993		184993	0.75
(l)	Bodies Corporate	61	117140			117140	0.48	117140		117140	0.48
(m)	Any Other (specify)	266	827814			827814	3.36	827814		827814	3.36
Sub-Total (B)(4)		13583	6093154			6093154	24.73	6093154		6093154	24.73
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)+(B)(4)		13586	6286246			6286246	25.51	6286246		6286246	25.51
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		13593	24640230			24640230	100	24640230		24640230	100
Total (A+B+C)		13593	24640230			24640230	100	24640230		24640230	100

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

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Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	Sub-categorization of shares		
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		Sub-category (i)	Sub-category (ii)	Sub-category (iii)
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group											
(1)	Indian											
(a)				54.8			1850000	13.7	13502500			
(d)				19.69			4851484	100	4851484			
Sub-Total (A) (1)				74.49			6701484	36.51	18353984			
(2)	Foreign											
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)				74.49			6701484	36.51	18353984			
B	Table III - Statement showing shareholding pattern of the Public shareholder											
(1)	Institutions (Domestic)											
(i)				0.01					2700	0	0	0
Sub-Total (B) (1)				0.01					2700	0	0	0
(2)	Institutions (Foreign)											
(d)				0.77					190392			
Sub-Total (B) (2)				0.77					190392	0	0	0
(3)	Central Government / State Government(s)											
(4)	Non-institutions											
(c)				0.02					5576	0	0	0
(f)				0.05					13194	0	0	0
(g)				13.71					3373112	0	0	0
(h)				6.36					1567318	0	0	0
(i)				0.75					184993	0	0	0
(l)				0.48					117140	0	0	0
(m)				3.36					827814	0	0	0
Sub-Total (B) (4)				24.73					6089147	0	0	0
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)+(B)(4)				25.51					6282239	0	0	0
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder											
Total (A+B+C2)				100					24636223			
Total (A+B+C)				100			6701484	27.2	24636223			

Individuals/Hindu undivided Family						
Scarial No.	1	2	3	4	5	6
Name of the Shareholders (I)	KRISHNA KUMAR KARWA	Prakash Kacholia	Priti Prakash Kacholia	Raunak Karwa	Krishna Kumar Karwa	Murlidhar Karwa
PAN (II)	AACPK9149E	AADPK7247C	AADPK7245A	ACVPK0232B	AACHK2851D	AABHM6186L
No. of fully paid up equity shares held (IV)	4922500	4750000	1880000	1750000	100000	100000
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	4922500	4750000	1880000	1750000	100000	100000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	19.98	19.28	7.63	7.1	0.41	0.41
Number of Voting Rights held in each class of securities (IX)						
Class eg:X	4922500	4750000	1880000	1750000	100000	100000
Class eg:y						
Total	4922500	4750000	1880000	1750000	100000	100000
Total as a % of Total Voting rights	19.98	19.28	7.63	7.1	0.41	0.41
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	19.98	19.28	7.63	7.1	0.41	0.41
Number of Locked in shares (XII)						
No. (a)						
As a % of total Shares held (b)						
Number of Shares pledged or otherwise encumbered (XIII)						
No. (a)	0	1850000	0	0	0	0
As a % of total Shares held (b)	0	38.95	0	0	0	0
Number of equity shares held in dematerialized form (XIV)	4922500	4750000	1880000	1750000	100000	100000
Reason for not providing PAN						

Reason for not providing PAN						
Shareholder type	Promoter	Promoter	Promoter Group	Promoter Group	Promoter Group	Promoter Group

Individuals/Hindu undivided Family	
Searial No.	
Name of the Shareholders (I)	Click here to go back
PAN (II)	Total
No. of fully paid up equity shares held (IV)	13502500
No. Of Partly paid-up equity shares held (V)	
No. Of shares underlying Depository Receipts (VI)	
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	13502500
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	54.8
Number of Voting Rights held in each class of securities (IX)	
Class eg:X	13502500
Class eg:y	
Total	13502500
Total as a % of Total Voting rights	54.8
No. Of Shares Underlying Outstanding convertible securities (X)	
No. of Shares Underlying Outstanding Warrants (Xi)	
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	54.8
Number of Locked in shares (XII)	
No. (a)	
As a % of total Shares held (b)	
Number of Shares pledged or otherwise encumbered (XIII)	
No. (a)	1850000
As a % of total Shares held (b)	13.7
Number of equity shares held in dematerialized form (XIV)	13502500
Reason for not providing PAN	

Reason for not providing PAN	
Shareholder type	

Any Other (specify)		
Searial No.	1	
Category	Bodies Corporate	Click here to go back
Name of the Shareholders (I)	Emkay Corporate Services Pvt.Ltd	
PAN (II)	AAACE0995M	Total
No. of the Shareholders (I)	1	1
No. of fully paid up equity shares held (IV)	4851484	4851484
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	4851484	4851484
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	19.69	19.69
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	4851484	4851484
Class eg:y		
Total	4851484	4851484
Total as a % of Total Voting rights	19.69	19.69
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	19.69	19.69
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of Shares pledged or otherwise encumbered (XIII)		
No. (a)	4851484	4851484
As a % of total Shares held (b)	100	100

Number of equity shares held in dematerialized form (XIV)	4851484	4851484
Reason for not providing PAN		
Reason for not providing PAN		
Shareholder type	Promoter Group	

Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		
Searial No.	1	
Name of the Shareholders (I)	Rajiv P Shroff	Click here to go back
PAN (II)	AARFM5001G	Total
No. of fully paid up equity shares held (IV)	759349	759349
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	759349	759349
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	3.08	3.08
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	759349	759349
Class eg:y		
Total	759349	759349
Total as a % of Total Voting rights	3.08	3.08
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	3.08	3.08
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	759349	759349
Reason for not providing PAN		
Reason for not providing PAN		
Sub-categorization of shares		
Shareholding (No. of shares) under		

Sub-category (i)	0	0
Sub-category (ii)	0	0
Sub-category (iii)	0	0

Any Other (specify)						
Searial No.	1	2	3	4	5	
Category	Bodies Corporate	Employees	HUF	Others	LLP	
Category / More than 1 percentage	Category	Category	Category	Category	More than 1 percentage of shareholding	
Name of the Shareholders (I)				Clearing Member	Vara Future Llp	Click here to go back
PAN (II)					AASFV9853G	Total
No. of the Shareholders (I)	5	23	236	2	1	266
No. of fully paid up equity shares held (IV)	272011	180216	313015	62572	261410	827814
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	272011	180216	313015	62572	261410	827814
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.1	0.73	1.27	0.25	1.06	3.35
Number of Voting Rights held in each class of securities (IX)						
Class eg: X	272011	180216	313015	62572	261410	827814
Class eg:y						
Total	272011	180216	313015	62572	261410	827814
Total as a % of Total Voting rights	1.1	0.73	1.27	0.25	1.06	3.35
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.1	0.73	1.27	0.25	1.06	3.35
Number of Locked in shares (XII)						
No. (a)						
As a % of total Shares held (b)						
Number of equity shares held in dematerialized form (XIV)	272011	180216	313015	62572	261410	827814

Reason for not providing PAN						
Reason for not providing PAN						
Sub-categorization of shares						
Shareholding (No. of shares) under						
Sub-category (i)	0	0	0	0	0	0
Sub-category (ii)	0	0	0	0	0	0
Sub-category (iii)	0	0	0	0	0	0

significant beneficial owners														
Sr. No.	Details of the SBO					Details of the registered owner					Details of holding/ exercise of right of the reporting company, whether direct			
	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Whether by virtue of:			
											Shares	Voting rights	Rights on distributable dividend or any other distribution	Exerci of contro
1	Krishna Kumar Karwa	AACPK9149E		India		Emkay Corporate Services Pvt. Ltd	AAACE0995M		India		9.85	9.85	9.85	Yes
Total:											9.85	9.85	9.85	
2	Prakash Kacholia	AADPK7247C		India		Emkay Corporate Services Pvt. Ltd	AAACE0995M		India		9.85	9.85	9.85	Yes
Total:											9.85	9.85	9.85	

